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Substitute	Substitute for form 1449/PTO				Complete if Known	
THIRD SUPPLEMENTAL					Application Number	10/074,996
				D.E.	Filing Date	February 12, 2002
	INFORMATION DISCLOSURE				First Named Inventor	Chang-Ping Lee
	STATEMENT BY APPLICANT (Use as many sheets as necessary)			NT	Art Unit	2131
1					Examiner Name	Christopher A. Revak
Sheet	Sheet 1 of 12		Attorney Docket Number	2222.5390005		

			U.S. PATENT DO	OCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initiels"	itiels No. 1 Number-Kind Code ² (Filtering) MN		MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
	USI	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
		Country Code ³ Number ⁴ Kind Code ³ (if known)			Relevant Passages or Relevant Figures Appear	T ⁶
	FP1	EP 0 950 941 A3	10-20-1999	Fujitsu Limited		
	FP2	EP 1 107504 A2	06-13-2001	Benayoun et al.	1	\Box
	FP3	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.		\Box
	FP4	WO 01/63387 A2	08-30-2001	Visualgold.com, Inc		
	FP5					
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Examiner	Date	
Signature	Considered	

'EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional), 'See Kinds Codes of USPTO Patant Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), ' or User's Plantiful Decluments as www.uspro.gov or Where No IV.N. I chair Orlind that assesse the decluminal, by the eve-least foce (yi-y-Q satisfied 5 i.j.). For I spenses peaked documents, the folloads of the year of the region of the Empor must precede the sent innumber of the planted document of document by the appropriate symbols as indicated on the document under WPO Standard 57.16 if passible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.37 and 1.99. The information is required to 581 peaked by the USPTO to process an application. Confiantially is governed by 38 US C 122 and 37 CFR 1.14. This collection is astimated to take 2 hours to

by the UBPTO by process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including patienting, pressuring and exhaulting the completed application from the USPTO into well only depending upon the individuog upon the ind

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Substitute f	Substitute for form 1449/PTO				Complete if Known		
SECOND SUPPLEMENTAL			· A I	Application Number	10/074,996		
	INFORMATION DISCLOSURE				Filing Date	February 12, 2002	
					First Named Inventor	Chang-Ping Lee	
			APPLI		Art Unit	2131	
(Use as many sheets as necessary)		Examiner Name	REVAK, CHRISTOPHER A.				
Sheet	2	of	12		Attorney Docket Number 2222.5390005		

			U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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	US21	5,765,152	06-09-1998	Ericson	
	US22	5,778,065	07-07-1998	Hauser et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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SECO	ND S	UPPL	EMENTAL		Application Number Filing Date	10/074,996
INFOR	INFORMATION DISCLOSURE			E		February 12, 2002
					First Named Inventor	Chang-Ping Lee
	STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit	2131		
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Sheet	3	of	12		Attorney Docket Number	2222.5390005

			U.S. PATENT DO		
Examiner Initials	Cite No. ^t	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code' Number' Kind Code' (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Substitute for form 1449/PTO	Complete if Known				
SECOND SUPPLEMENTAL	Application Number	10/074,996			
	Filing Date	February 12, 2002			
INFORMATION DISCLOSURE	First Named Inventor	Chang-Ping Lee			
STATEMENT BY APPLICANT	Art Unit	2131			
(Use as many sheets as necessary)	Examiner Name	REVAK, CHRISTOPHER A.			
Sheet 4 of 12	Attorney Docket Number	2222.5390005			

			U.S. PATENT DO		
Examiner Cite Initials No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,		
Initials*	No.	Country Code* Number* Kind Code* (if known)	MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
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Substitute for form 1449/PTO	Complete if Known					
SECOND SUPPLEMENTAL	Application Number	10/074,996				
	Filing Date	February 12, 2002				
INFORMATION DISCLOSURE	First Named Inventor	Chang-Ping Lee				
STATEMENT BY APPLICANT	Art Unit	2131				
(Use as many sheets as necessary)	Examiner Name	REVAK, CHRISTOPHER A.				
Sheet 5 of 12	Attorney Docket Number	2222.5390005				

			U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
		Number-Kind Code ^{2 (If Known)}	l		or Relevant Figures Appear
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			FOREIGN PATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Substitute for form 1449/PTO	Complete if Known				
SECOND SUPPLEMENTAL	Application Number	10/074,996			
	Filing Date	February 12, 2002			
INFORMATION DISCLOSURE	First Named Inventor	Chang-Ping Lee			
STATEMENT BY APPLICANT	Art Unit	2131			
(Use as many sheets as necessary)	Examiner Name	REVAK, CHRISTOPHER A.			
Sheet 6 of 12	Attorney Docket Number	2222.5390005			

			U.S. PATENT DO		
Examiner Cite Initials No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines,
Initials No	No.	Number-Kind Code ^{2 (IFKoore)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,		
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SECOND SUPPLEMENTAL			Filing Date	February 12, 2002		
		DISCLOSURE	First Named Inventor	Chang-Ping Lee		
STAT		' APPLICANT	Art Unit	2131		
(Use as many sheets as necessary)		Examiner Name	REVAK, CHRISTOPHER A.			
Sheet	7 of	12	Attorney Docket Number	2222.5390005		

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8500	ND C	וממווי	EMENTAL	Application Number	10/074,996			
	SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Filing Date	February 12, 2002			
				First Named Inventor	Chang-Ping Lee			
STAT				Art Unit	2131			
				Examiner Name	REVAK, CHRISTOPHER A.			
Sheet	8	of	12	Attorney Docket Number	2222.5390005			

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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	February 12, 2002	
					First Named Inventor	Chang-Ping Lee	
					Art Unit	2131	
				y)	Examiner Name	REVAK, CHRISTOPHER A.	
Sheet	9	of	12		Attorney Docket Number	2222.5390005	

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SECOND SUPPLEMENTAL	Application Number	10/074,996		
	Filing Date	February 12, 2002		
INFORMATION DISCLOSURE	First Named Inventor	Chang-Ping Lee		
STATEMENT BY APPLICANT	Art Unit	2131		
(Use as many sheets as necessary)	Examiner Name	REVAK, CHRISTOPHER A.		
Sheet 10 of 12	Attorney Docket Number	2222.5390005		

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Examiner Cite Initials No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
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*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicants' unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ' For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senial number of the patent document. Had of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Trenslation is ettached.

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ı					Filing Date	February 12, 2002
ı					First Named Inventor	Chang-Ping Lee
١	STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit	2131		
ı			Examiner Name	REVAK, CHRISTOPHER A.		
ľ	Sheet	11	of	12	Attorney Docket Number	2222.5390005

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
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	SECOND SUPPLEMENTAL				Filing Date	February 12, 2002
	INFORMATION DISCLOSURE				First Named Inventor	Chang-Ping Lee
	STATEMENT BY APPLICANT		Art Unit	2131		
(Use as many sheets as necessary)		Examiner Name	REVAK, CHRISTOPHER A.			
Sheet	12	of	12		Attorney Docket Number	2222.5390005

	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
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				Examiner Name	REVAK, CHRISTOPHER A.	
Sheet	1	of	5	Attorney Docket Number	2222.5390005	

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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	NPL1	STALLINGS, William, "Cryptography and Network Security: Principles and Practice," 1999, pp. 333-37, Second Edition, Prentice Hall, Upper Saddle River, New Jersey.	
	NPL2	*Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect	
	NPL3	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
	NPL4	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL5	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets, "U.S. Patent Application No. 10/404,566, filed 03/31/2003.	
-	NPL6	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL7	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
	NPL8	Kinghom, Gary Mark, "Method and system for protecting electronic data in enterprise environment, "U.S. Patent Application No. 10/159,220, filed 05/31/2002	
	NPL9	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
	NPL10	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	

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CECONI	. CUDDL	EMENTAL	Application Number	10/074,996	
			Filing Date	February 12, 2002	
		ISCLOSURE	First Named Inventor	Chang-Ping Lee	
		APPLICANT	Art Unit	2131	
(Use as many sheets as necessary)			Examiner Name	REVAK, CHRISTOPHER A.	
Sheet	2	of 5	Attorney Docket Number	2222.5390005	

		Non Patent Literature Documents	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
	NPL11	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	
	NPL12	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
	NPL13	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine" inventor Thomas, July 13, 2004, 18 pgs.	
	NPL14	U.S. patent application, Scr No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.	
	NPL15	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL16	U.S. patent application, Scr No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL17	U.S. patent application, Scr No. 10/286,524, entitled "Security system that uses indirect password-based encryption," inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL18	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network "inventor Ryan, September 11, 2002, 33 pgs.	
	NPL19	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolcrant transfer of files across a network" inventor Kenrich, August 15, 2003, 32 pgs.	
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE

Complete if Known 10/074.996 Application Number Filing Date February 12, 2002 First Named Inventor Chang-Ping Lee Art Unit 2131 Examiner Name REVAK, CHRISTOPHER A. 2222.5390005 Attorney Docket Number

STATEMENT BY APPLICANT (Use as many sheets as necessary) Sheet 3 of

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²			
	NPL21	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files" inventor Ryan, May 30, 2003, 35 pgs.				
	NPL22	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.				
	NPL23	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.				
	NPL24	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi- location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.				
	NPL25	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.				
	NPL26	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.				
	NPL27	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.				
	NPL28	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.				
	NPL29	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.				
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SECON	D ELIDDI	ENA	ENTAL	Application Number	10/074,996
	SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	February 12, 2002
				First Named Inventor	Chang-Ping Lee
				Art Unit	2131
(Use as many sheets as necessary)			s necessary)	Examiner Name	REVAK, CHRISTOPHER A.
Sheet	4	of	5	Attorney Docket Number	2222.5390005

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	NPL31	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL32	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
	NPL33	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
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	NPL35	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL36	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp. 1-4.	
	NPL37	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL38	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL39	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL40	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

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Application Number	10/074,996				
Filing Date	February 12, 2002				
First Named Inventor	Chang-Ping Lee				
Art Unit	2131				
Examiner Name	REVAK, CHRISTOPHER A.				
Attorney Docket Number	2222.5390005				

		NON PATENT LITERATURE DOCUMENTS	_	
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	NPL41	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.		
	NPL42	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.		
	NPL43	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.		
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	NPL48	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8336, 2 pages.		
	NPL49	Search Report, completion date May \$, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.		
	NPL50		Γ	

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